

P	H	I	N	M	A		P	E	T	R	O	L	E	U	M		A	N	D		G	E	O	T	H	E	R	M	A	L		
I	N	C																														

(Company's Full Name)

L	E	V	E	L		1	1		P	H	I	N	M	A		P	L	A	Z	A		3	9		P	L	A	Z	A			
D	R	I	V	E		R	O	C	K	W	E	L	L		C	E	N	T	E	R		M	A	K	A	T	I		C	I	T	Y

(Business Address: No. Street City/Town/Province)

ATTY. ALAN T. ASCALON

(Contract Person)

870-0100

(Company Telephone Number)

12

Month

31

Day

(Fiscal Year)

23 - A

(Form Type)

Month

Day

(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION
Metro Manila, Philippines

FORM 23-B

☐ Check box if no longer subject
to filing requirement

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES
Filed pursuant to Section 23 of the Securities Regulation Code



1. Name and Address of Reporting Person Philippine Investment-Management (PHINMA), Inc. (Last) (First) (Middle) PHINMA Plaza, No. 39 Plaza Drive, Rockwell Ctr. (Street) Makati City (City) (Province) (Postal Code)		2. Issuer Name and Trading Symbol Phinma Petroleum and Geothermal Inc (PPG)		7. Relationship of Reporting Person to Issuer (Check all applicable) ____ Director ____ Officer (give title below) ____xx____ 10% Owner ____ Other (specify below)				
3. Tax Identification Number 000-136-772-000		5. Statement for Initial Filing January 2019		6. If Amendment, Date of Original (Month/Year)				
4. Citizenship Filipino								
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares	11-Jan-19	6,000	D	3.69	12.30%	30,761,111	D	
Common shares	11-Jan-19	40,000	D	3.70				
Common shares	14-Jan-19	10,000	D	3.72	4.76%	11,895,958	I	PHINMA, INC. owns 36.62% of
Common shares	14-Jan-19	20,000	D	3.75				Phinma Corporation (PHN)
Common shares	14-Jan-19	33,000	D	3.80				while PHN owns 12.99% , or
Common shares	15-Jan-19	2,000	D	3.70				32,481,317 of PPG
Common shares	15-Jan-19	3,000	D	3.71				
Common shares	15-Jan-19	3,000	D	3.75	12.80%	32,001,401	I	PHINMA, INC. owns 25.23% of
Common shares	18-Jan-19	10,000	D	3.91				Phinma Energy (PHEN)
Common shares	21-Jan-19	6,000	D	3.63				while PHEN owns 50.74% , or
Common shares	21-Jan-19	2,000	D	3.64		74,658,470		126,838,689 of PPG
		page 1 of 2						

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
 - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 - (A) held by members of a person's immediate family sharing the same household;
 - (B) held by a partnership in which such person is a general partner;
 - (C) held by a corporation of which such person is a controlling shareholder; or
 - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

SECURITIES AND EXCHANGE COMMISSION
Metro Manila, Philippines

FORM 23-B

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject
to filing requirement

1. Name and Address of Reporting Person Philippine Investment-Management (PHINMA), Inc. (Last) (First) (Middle) PHINMA Plaza, No. 39 Plaza Drive, Rockwell Ctr. (Street) Makati City (City) (Province) (Postal Code)		2. Issuer Name and Trading Symbol Phinma Petroleum and Geothermal Inc (PPG)		7. Relationship of Reporting Person to Issuer (Check all applicable) ____ Director ____xx____ 10% Owner ____ Officer ____ Other (give title below) (specify below)				
3. Tax Identification Number 000-136-772-000		5. Statement for Month/Year January 2019						
4. Citizenship Filipino		6. If Amendment, Date of Original (Month/Year)						
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares	22-Jan-19	Amount	(A) or (D)	Price				
Common shares	24-Jan-19	9,000	D	3.65				
Common shares	24-Jan-19	20,000	D	3.58				
Common shares	24-Jan-19	20,000	D	3.59				
Common shares	30-Jan-19	40,000	D	3.66				
		NOTHING FOLLOWS						

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or

(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

(A) held by members of a person's immediate family sharing the same household;

(B) held by a partnership in which such person is a general partner;

(C) held by a corporation of which such person is a controlling shareholder; or

(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Not applicable												

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.
Attach additional sheets if space provided is insufficient.


MARVIN T. CORITANA
A VP-Controller